

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

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A. The undersigned financial institution, AMAN BANK FOR COMMERCE & INVESTMENT ("**Foreign Bank**") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

- Foreign Banks maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: HALANDALUS - GOURGI ROAD - AMAN BANK BUILDING - TRIPOLI - LIBYA, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in LIBYA (insert country), where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by CBL - CENTRAL BANK OF LIBYA, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, if applicable.

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If neither box 1 or 2 of Part E is checked, complete Item 3 below, if applicable.

- 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one person.

Name : as per	Address
Shareholders list attached-	
page 6 hereto	

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: COGENCY GLOBAL INC.
is a resident of the United States at the following street address:
10 E. 40th Street, 10th floor - New York, NY 10016, and
is authorized to accept service of legal process on behalf of Foreign Bank from the
Secretary of the Treasury or the Attorney General of the United States pursuant to
Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, RUI CUPERTINO (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

AMAN BANK FOR COMMERCE & INVESTMENT
[Name of Foreign Bank]

[Signature]

RUI CUPERTINO
[Printed Name]

CHAIRMAN ADVISOR
[Title]

Executed on this 22 day of FEBRUARY, 2019.

Received and reviewed by:

Name: _____
Title: _____
For: _____
[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

Aman Bank for Commerce and Investment - Shareholder's list as of 2018-07-16

#	Name of shares subscribed	Number of subscribed shares				Par value (LYD)	Value of shares (LYD)	Percentage
		previous shares	new free shares	new shares	total shares			
1	Al mokhtar alhadi ammar eshali	300 100	17 942	282 038	600 080	10	6 000 800	3,0%
2	hussien mohamed mohamed al seliny	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
3	mardya abd el salam abd el rahman	499 997	29 894	469 903	999 794	10	9 997 940	5,0%
4	abd el hakim mohamed aly el khomasy	480 102	28 704	451 206	960 012	10	9 600 120	4,8%
5	samy ramadan salem el mazoghy	214 900	12 848	201 966	429 714	10	4 297 140	2,1%
6	eman aly el safejr omar el merghny	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
7	abd el naby moftah el taboly	232 707	13 913	218 701	465 321	10	4 653 210	2,3%
8	abd el rahman emohamed el hamrouny	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
9	mohamed hassan abd el kader kashash	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
10	mohamed el hady saleh el fazany	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
11	mostafa beshar aly al sherief	259 050	15 488	243 458	517 996	10	5 179 960	2,6%
12	ashraf khalefa al lafy al taeb	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
13	hossam fisal abd el latef al hamrouny	313 644	18 752	294 766	627 163	10	6 271 630	3,1%
14	hatem abd al majeed faheel al bom	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
15	turkya moftah mohamed el said	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
16	emohamed al hady abo shahewah	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
17	waleed ahmed al amin al nafaty	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
18	mohamed al mokhtar al hady eshali	100 000	5 979	93 981	199 960	10	1 999 600	1,0%
19	omar al mokhtar al hady eshali	100 000	5 979	93 981	199 960	10	1 999 600	1,0%
20	saalem ewaydat salem ewaydat	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
21	abo al kassem saleh nasr al gamal	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
22	mohamed hamed saleh dakheel	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
23	hassan aly mohamed al shobany	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
24	saleh omar mohamed tetash	500 100	29 900	470 000	1 000 000	10	10 000 000	5,0%
		10 002 000	598 000	9 400 000	20 000 000		200 000 000	100,0%

Tripoli, 16 July 2018

Aman Bank for Commerce and Investment

Chairman




AMAN BANK FOR COMMERCE & INVESTMENT
SHAREHOLDER'S LIST