



AML & Compliance

Mission

AML & Compliance is an independent function that monitors and elaborates the compliance of internal procedures with laws, regulations, circulars and professional and ethical standards within the framework of the Integrity Policy, which covers in particular:

- Professional ethics;
- Corporate governance;
- The prevention of conflicts of interest;
- The prevention of fraud;
- Financial security;
- The prevention of money laundering and terrorist financing;
- Compliance with prudential standards.

Main Goals

AML & Compliance Units main goals can be represented as follow:

- Complies its activities in accordance with applicable legal, regulatory, statutory, operational, and ethical norms from the institutional regulators;
- Contributes to reinforcing the bank's image, credibility and public confidence towards the bank, acting in accordance to principles of integrity, honesty, diligence, competence, transparency and neutrality;
- Identifies and determines the risks of non-conformity and assess the consequences on its activity. In addition to report it to the board of directors, proposing measures likely to control and treat risks of non-compliance;
- Assists the services and other unites to guarantee the compliance with laws and regulations as well as good practices and professional ethic rules, including the proposal for training programs for officers responsible for the compliance function.